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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|--------------------|----------------------|-------------------------|------------------|
| 10/643,765 | 08/18/2003 | Andrew B. Hastings | 1376.725US1 | 3874 |
| 21186 7590 01/24/2007 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. BOX 2938 | | | EXAMINER | |
| | | | MCLEAN MAYO, KIMBERLY N | |
| MINNEAPOLIS, MN 55402 | | | ART UNIT | PAPER NUMBER |
| | | | 2187 | |
| | | | | |
| SHORTENED STATUTORY | PERIOD OF RESPONSE | MAIL DATE | DELIVERY MODE | |
| 3 MON | THS . | 01/24/2007 | PAPER | |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| | Application No. | Applicant(s) | | | | |
|--|--|---|--|--|--|--|
| Office Assistant Communication | 10/643,765 | HASTINGS, ANDREW B. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| . * | Kimberly N. McLean-Mayo | 2187 | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | orrespondence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DOWN THE MAILING DOWN THE MORE AND THE MORE A | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tir will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). | | | | |
| Status | · | | | | | |
| 1)⊠ Responsive to communication(s) filed on 20 N | ovember 2006 | | | | | |
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| · · · · · · · · · · · · · · · · · · · | | | | | | |
| | Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | |
| Disposition of Claims | | | | | | |
| 4)⊠ Claim(s) <u>1-41</u> is/are pending in the application. | 4) Claim(s) 1-41 is/are pending in the application | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) <u>37-40</u> is/are allowed. | | | | | | |
| 6) ☐ Claim(s) <u>1-2, 6-8, 10-13, 17-18, 20-36 and 41</u> is/are rejected. | | | | | | |
| 7) Claim(s) <u>3-5,9,14-16 and 19</u> is/are objected to. | <u> </u> | | | | | |
| 8) Claim(s) are subject to restriction and/o | | | | | | |
| Application Papers | | · | | | | |
| | | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | | |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| 11) The oath or declaration is objected to by the Ex | aminer. Note the attached Office | Action or form PTO-152. | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | |
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| | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
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| e | | | | | | |
| Attachment(s) | , | | | | | |
| 1) Notice of References Cited (PTO-892) | 4) 🔲 Interview Summary | (PTO-413) | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date | | | | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) Saper No(s)/Mail Date Cyber Statement (s) (PTO/SB/08) | | | | | | |
| Paper No(s)/Mail Date | 6) [_] Other: | | | | | |

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DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on November 20, 2006 has been entered.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-2, 6-8, 10-13, 17-18, 20-36 and 41 are rejected under 35 U.S.C. 102(e) as being anticipated by Kolichtchak (PGPUB: US 2003/0014667).

Regarding claims 1-2, 10-13, 21-24, 27-29, 32-35 and 41, Kolichtchak discloses a system comprising a memory (Figure 1, Reference 110); a plurality of pages held in the memory (section 0009); an instruction translation lookaside buffer (ITLB) (Figure 1, Reference 190); a fist data translation lookaside buffer (DTLB) (Figure 1, Reference 180); a translation lookaside buffer [inherent; when a miss occurs in the ITLB, a miss handler [software/code] retrieves the entry from the page table and when a miss occurs in the DTLB a miss handler retrieves the

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missed page entry from the page table); an executable/non-executable (x) indicator [user/supervisory mode] associated with each page in memory (Figure 2, bit 2; section 0011) wherein the TLB miss handler sets the x-indicator for a particular page to indicate non-executable when that page is accessed in a mode that allows writing to that page, and wherein the ITLB refuses to allow instructions from a page with an associated x-indicator of non-executable to be loaded [section 0014].

Regarding claims 6, 8, 18, and 20, Kolichtchak discloses a write bit associated with each page in memory that indicates the respective page is writable (Figure 2, bit 1; section 0011)

Regarding claims 7 and 17, Kolichtchak discloses a page table used to translate a virtual address to a real address, wherein the x-indicator for each page is held in the page table entry associated with that page (Figure 2, bit 2; section 0011).

Regarding claims 25-26, 30-31 and 36, Kolichtchak discloses translating the address for the data access also including setting the non-executable indication for a page holding the data access address on if a write indication is set for that page (Figure 3, Reference 310).

Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

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having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 3, 4, 14-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kolichtchak (PGPUB: US 2003/0014667).

Regarding claims 3-4 and 14-15, Kolichtchak does not disclose utilizing the DTLB only for vector and scalar accesses to memory. A data translation lookaside buffer translates read/write accesses to data in the memory. In a system that perform scalar and vector memory data accesses, the DTLB would be used for those memory accesses. Kolichtchak does not explicitly disclose using scalar and vector memory accesses, however, one of ordinary skill in the art would have been motivated to use Kolichtchak 's teachings [buffer overflow detection/protection] in a system that performs vector and scalar memory accesses, wherein the DTLB would only be used for those accesses, for the desirable purpose of providing accuracy and data protection.

Allowable Subject Matter

- 2. Claims 37-40 are allowed.
- 3. Claims 3-4, 5, 9, 14-16 and 19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

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Response to Arguments

Applicant's arguments filed have been fully considered but they are not persuasive.

The Applicant provides arguments which are incommensurate with the claims. For example, the Applicant argues that Kolichtchak's use of the U/S flag is unconventional, etc.

The Applicant argues that Kolichtchak's U/S flag is not an execute/non-execute bit since if the U/S flag is marked supervisor and the processor in supervisor mode, it does not cause the ITLB to refuse to allow instructions to be loaded or executed. The Examiner disagrees, claims are examined given the broadest reasonable interpretation. In this case, Kolichtchak teaches that when a page is non-executable the privilege is set to supervisor mode which prevents execution of the page (loading, etc.) by users having a *user privilege* only. Hence, Kolichtchak refuses access to those pages by users having only a *user privilege* (refer to section 0011; lines 18-21; section 0014).

Regarding claims 7 and 17, the U/S flag is the x-indicator.

Regarding Applicant's arguments with respect to claims 21 and 27, the Examiner disagrees.

Data address translation occurs when the system accesses the address and corresponding entry in the page table is accessed to retrieve the physical address. When the page table entry is accessed, the accessed entry is written into the DTLB where the non-executable indication is set by writing the value of indicator in the DTLB.

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Additionally, the Examiner has cited equivalent structure, material or acts as provided above for Applicant's means-plus-function claims.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kimberly N. McLean-Mayo whose telephone number is 571-272-4194. The examiner can normally be reached on Mon, Wed, Thurs (10-4), Tues (9:45 - 6:15).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks can be reached on 571-272-4201. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kimberly N. McLean-Mayo Primary Examiner Art Unit 2187

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KNM

January 21, 2007

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IMBERLY NICLEAN-MAYO